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S.D.  
01-21-04

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 14651	
In Re Application Of: Takayuki Tomita, et al.				
Serial No. 09/865,218	Filing Date May 25, 2001	Examiner Unassigned	Group Art Unit 2631	
Title: SPECTRUM SPREAD RECEIVER DEVICE				
<div>RECEIVED JAN 21 2004 TC 2000</div> <div>RECEIVED JAN 20 2004 Technology Center 2600</div>				
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) of 1.97(c))

Docket No.

14651

In Re Application: Takayuki Tomita, et al.

JAN 15 2004

Serial No.

09/865,218

Filing Date

May 25, 2001

Examiner

Unassigned

Group Art Unit

2631

SPECTRUM SPREAD RECEIVER DEVICE

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Signature of Person Mailing Correspondence

Paul J. Esatto, Jr.

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Signature

Dated: January 12, 2003

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PJE:ahs

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Takayuki Tomita, et al.	Examiner:	Unassigned
Serial No.:	09/865,218	Art Unit:	2631
Filed:	May 25, 2001	Docket:	14651
For:	SPECTRUM SPREAD RECEIVER DEVICE	Dated:	January 12, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 12, 2004.

Dated: January 12, 2004

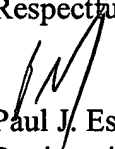
  
\_\_\_\_\_  
Paul V. Esatto, Jr.

1. International Application Publication No. WO 00/04738, dated January 27, 2000; and
2. European Patent Application Publication No. 0 848 503 A2, dated June 17, 1998.

The references were cited in a Search Report dated November 12, 2003, received from the European Patent Office. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.  
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PJE:ahs

(Use several sheets if necessary)

14651

**09/865,218**

**Takayuki Tomita, et al.**

**May 25, 2001**

2631

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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.